

Customer No. 24498
Serial No.: 10/537,750

PATENT
PU020488

DTW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Terry Wayne Lockridge et al.
Serial No. : 10/537,750
Filed : 6/6/2005
For : A METHOD AND SYSTEM FOR REMOTE TUNING AND CLOCK
SYNCHRONIZATION

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
- ☐ 2 Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☐ 3 Pursuant to 37 CFR 1.97(d)
[after Final Office Action or Allowance, but prior to payment of Issue Fee]]

Mail Stop Amendment
Hon. Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 4 A list of documents on form PTO/SB/08a and/or PTO/SB/08b together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 5 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 6 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ (a) The required certification made in item 8(a) below; **OR**
- ☐ (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.
- ☐ 7 37 CFR 1.97(d): [after Final Office Action or Allowance, but prior to payment of Issue Fee]; and
- ☐ (a) The required Certification made in item 8(a) below; **AND**
- ☐ (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.

[] 8 Certification

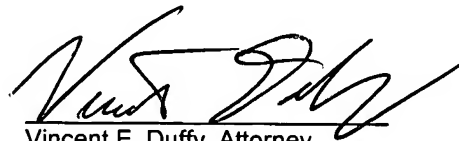
- [] (a) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [] (b) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[X] 9 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully submitted,

Terry Wayne Lockridge

By:



Vincent E. Duffy, Attorney
Registration No. 39,964
(818) 260-3727

THOMSON Licensing LLC
Patent Operations
P.O. Box 5312
Princeton, New Jersey 08543-5312

DATE: 8/3/07

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Commissioner for Patents, Alexandria, Virginia 22313-1450, on the date indicated below.

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Signature 
Vincent E. Duffy

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
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U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	10/537750
Filing Date	6/6/2005
First Named Inventor	Terry Lockridge
Art Unit	2616
Examiner Name	Jayanti K. Patel
Attorney Docket Number	PU020488

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		COPY OF SUPPLEMENTARY EUROPEAN SEARCH REPORT DATED April 2, 2006	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Report to Data Base

Docket No. **P0020488**

Serial No. **10/537,730**

Filed: **6/6/05**

PATENT OPERATIONS

Patent No. _____

Atty: Vincent E. Duffy

Inventor(s): **Terry Wayne Lockridge et al.**

Title: **A method and system for remote tuning and clock synchronization**

MAILING TO U.S. Patent and Trademark Office



APPLICATION AS FILED									
Enter Date	Enter Number	Check Type	Check Items Mailed with Application	Original-US Nat'l	Divisional	Continuation	CPAIRCE	Reissue	Re-Exam
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		<input type="checkbox"/>	Statement under CFR § 1.56-013M						
		<input type="checkbox"/>	Assignment & Recordation Sheet						
		<input type="checkbox"/>	Preliminary Amendment						
		<input type="checkbox"/>	Priority Document -						
		<input type="checkbox"/>	IDS 1449 with References						
		<input type="checkbox"/>	Utility Application Transmittal						
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